PREVENTION OF SEXUAL HARASSMENT
AT THE WORKPLACE
Case Studies from an India perspective
Organizations today are increasingly striving to achieve gender diversity and cultural inclusiveness. There is an equal focus on creating safer work environments for all genders. However, the stark reality of sexual harassment faced by women at the workplace for generations cannot be denied. The silver lining though is that incidents of sexual harassment are increasingly getting reported, both globally as well as in India. Last year, the #metoo movement brought forward many such cases. Be it allegations against Google of payment of a total of USD 135 million to 2 employees after they were accused of sexual harassment at the company or a number of accusations brought against Harvey Weinstein. Nearer home, Indian companies reported more cases of sexual harassment in FY 2019 compared to a year earlier. Data from BSE 100 companies showed a 14% increase in reports of sexual harassment complaints in FY 2019.

The Supreme Court of India laid down the guidelines for prevention of sexual harassment at workplace in the case of Vishaka & Ors. V/S State of Rajasthan in the year 1997. Encompassing those principles, 6 years ago, the Government promulgated Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013 (the POSH Act) and the rules thereunder. POSH Act seeks to prevent and protect women from sexual harassment at workplace.

The key principles emerging from the POSH Act include:

- It is the duty of the employer to provide a safe working environment at the workplace which shall include safety from the persons coming into contact at the workplace.
- Sexual harassment need not be physical or verbal in nature, it could be non-verbal conduct of sexual nature, such as forwarding inappropriate content on WhatsApp, or displaying inappropriate content on the screensaver of the laptop.
- Every employer with 10 or more employees is required to have an internal committee in place to deal with the complaints of sexual harassment.
- Every employer is required to treat sexual harassment as a misconduct under the service rules and initiate action for such misconduct.
- In order for a woman to claim protection under the POSH Act, the incident of sexual harassment should have taken place at the ‘workplace’. The definition of ‘workplace’ extends to ‘any place visited by the employee arising out of or during the course of employment’. This could mean office offsites, office parties, work conferences attended by female employees.
- The aggrieved woman can choose to file a complaint under the Indian Penal Code, 1860 and the employer should assist the woman in filing such a complaint.

However, in India, the POSH Act is wide in its application and open for interpretation. Sometimes, POSH Act is even considered biased in favor of women. However, the POSH Act provides enough safeguards to ensure that the internal committee follows the principles of natural justice and if a malicious complaint is made or false evidence is produced by the complainant, an appropriate action is taken against such complainant including by way of a written apology, warning, reprimand or censure, withholding of promotion, withholding of pay rise or increments, terminating the services, counselling or community service etc.

ELP is regularly approached by a number of domestic as well as multinational organizations to advise them on complaints of sexual harassment. To put the current issues arising under the POSH Act in India, we have analyzed two recent cases in India.

2 AIR 1997 SC 3011
Facts: A female employee accused her reporting manager of sexual harassment at the workplace and filed a written complaint with the internal committee. The internal committee suspended the accused, pending investigation. The accused filed a complaint with the employer alleging that it was illegal on part of the internal committee to suspend the accused.

Legal Position: During the pendency of the inquiry, on a written request by the aggrieved woman, the internal committee can recommend the following to the employer:

- Transfer the aggrieved woman or the respondent to any other workplace or
- Grant leave to the aggrieved woman upto a period of 3 months in addition to leave she would otherwise be entitled to or
- Restrain the respondent from reporting on work performance of the aggrieved woman or writing her confidential report or assign the same to another office or
- Restrain the respondent from supervising any academic activity of the aggrieved woman (in the case of an educational institute)

Facts: A young woman was allegedly sexually harassed by an employee of an establishment which the young woman often visited. There were a number of witnesses to the incident, however, the victim was not willing to come forward and file a complaint of harassment with the employer. The victim was afraid of retaliation from the accused. The question raised before us was whether in absence of a complaint filed by the victim, can an action be taken by the employer against the accused?

Legal Position: As per the POSH Act, a complaint of sexual harassment can be filed by:

- A woman who alleges to have been subjected to any act of sexual harassment
- In case of an aggrieved woman’s physical incapacity, a complaint can be filed by:
  a. Her relative or friend or co-worker
  b. An officer of National Commission for Women or State’s Women Commission
  c. Any person who has knowledge of the incident with her written consent
- In case of an aggrieved woman’s mental incapacity, a complaint can be filed by:
  a. Her relative, friend or special educator
  b. A qualified psychiatrist or psychologist
  c. A guardian or authority under whose care she is receiving treatment
- If the aggrieved woman is unable to file a complaint for any other reason, any person with knowledge of the incident, can file a complaint on her behalf with her written consent.

There is nothing in the POSH Act which permits the employer to take suo moto action against the accused unless a written complain of sexual harassment has been received from the aggrieved woman or in her incapacity, any other person specified above. The POSH Act makes the wishes of the victim paramount, and if she so desires that the particular incident of harassment should not be prosecuted, her wishes are to be respected by the employer.

However, under the POSH Act, it is mandatory for the employer to treat sexual harassment as ‘misconduct’ under the services rules, therefore, the matter can be investigated as per the due process laid down in the service rules without a written complaint from the victim and post investigation, due action can be taken by the employer.

Case Study 1

Case Study 2
There is nothing under POSH Act or rules framed thereunder which permits the internal committee to suspend an accused while the investigation is underway. If the aggrieved woman so requests, it is the aggrieved woman who can be granted additional leave up to 3 months and not the accused. If the accused is in a position to tamper evidence or intimidate witnesses, the accused can be transferred to a different location or measures can be taken by the employer so that the investigation process is not hindered. However, it is important that the internal committee acts well within its powers granted under statute and does not overstep. In case of doubt, the internal committee should consult legal experts to avoid taking steps which fall foul of the POSH Act or the rules framed thereunder.

These are only two examples of many other complex issues which may arise while dealing with cases of sexual harassment, e.g., what action needs to be taken if the sexual harassment takes place in the elevator of the office building, how should the internal committee view a case of sexual harassment if the aggrieved woman and the accused were previously involved in a romantic relationship, etc.

Given the above context, it is important to understand what employers and legal advisors need to be mindful of in India, when dealing with such cases.

What additional steps should employers take to mitigate risks?

- File annual report with the district officer, every calendar year, setting out the number of complaints of sexual harassment received, and disposed off in the year, the number of cases pending for more than 90 days, the number of workshops and awareness programs against sexual harassment carried out in that year and the nature of action taken by the employer against sexual harassment.
- Provide a statement in its Board of Directors’ report that the Company has complied with the provisions relating to the constitution of the internal committee under the POSH Act.
- Disclose in the Corporate Governance Report of listed companies (where applicable) the number of complaints filed during the financial year, the number of complaints disposed of during the financial year, and the number of complaints pending as on end of the financial year.

When does the legal team step in?

- Assisting in formulating various policies on prevention of sexual harassment at the workplace, equal opportunities at the workplace, the employee handbook, etc.
- External members on the internal committees of various organizations
- Acting as advisers to the internal committees in dealing with the complaints of sexual harassment
- Advising on various issues arising from the complaints of sexual harassment at workplace
- Conducting workshops and awareness programs.

Sexual harassment at the workplace is a sensitive issue and there is a lot of social stigma attached to such incidents which prevents the aggrieved women from coming forward and filing a case. For male employees, it is better to err on the side of caution, while reacting to social cues. The POSH Act is not a black and white statute, and the employers should keep the social context in mind while providing harassment-free work environment. A number of complex issues arise while tackling a case of sexual harassment and a fine balance between legal constraints and social norms needs to be maintained.
MUMBAI
109 A, 1st Floor, Dalamal Towers
Free Press Journal Road, Nariman Point
Mumbai 400 021
T: +91 22 6636 7000

NEW DELHI
801 A, 8th Floor, Konnectus Tower, Bhavbhuji Marg
Opp. Ajmeri Gate Railway Station, Nr. Minto Bridge
New Delhi 110 001
T: +91 11 4152 8400

AHMEDABAD
801, 8th Floor, Abhijeet III
Mithakali Six Road, Ellisbridge
Ahmedabad 380 006
T: +91 79 6605 4480/8

PUNE
202, 2nd Floor, Vascon Eco Tower
Baner Pashan Road
Pune 411 045
T: +91 20 4912 7400

BENGALURU
6th Floor, Rockline Centre
54, Richmond Road
Bengaluru 560 025
T: +91 80 4168 5530/1

CHENNAI
No 18, BBC Homes, Flat-7 Block A
South Boag Road
Chennai 600 017
T: +91 44 4210 4863

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